

CAMBRIDGE ASSOCIATES LIMITED
(the “Company” or “CA”)

SHAREHOLDER RIGHTS DIRECTIVE II DISCLOSURE STATEMENT

June 2022

SHAREHOLDER RIGHTS DIRECTIVE II

The Shareholder Rights Directive (“SRD”) II imposes, by virtue of section COBS 2.2B of the FCA Handbook, certain requirements for UK MiFID investment firms that provide portfolio management services to investors. In particular, these requirements apply to the extent that a firm is investing on behalf of investors in shares traded on a regulated market.

As such, firms must either develop and publicly disclose an engagement policy that meets the requirements of COBS 2.2B.6R and publicly disclose on an annual basis how their engagement policy has been implemented appropriately, or publicly disclose a clear and reasoned explanation of why they have chosen not to comply with the said requirement.

CA has determined that the requirements in COBS 2.2B do not apply to it as the type of investment activity undertaken by the Company is out of scope of these requirements. CA does not trade or provide advice on single shares.

For further information, please contact:

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